



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: **Richard G. Cartledge** Art Unit: **3731**

SERIAL NO.: **10/651,852** Examiner: **Not Yet Assigned**

FILED: **August 29, 2003**

FOR: **Apparatus for Implanting Surgical Devices for Controlling the Internal Circumference of an Anatomic Orifice or Lumen**

ATTORNEY DOCKET NO.: 55430/291339

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as certified first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 7, 2005.

  
Matthew I. Collins

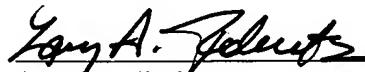
RESPONSE TO NOTICE OF MISSING PARTS

Sir:

This paper is submitted in response to the "Notice to File Missing Parts of Nonprovisional Application" mailed on April 13, 2004. In response, an executed Declaration signed by inventors Richard G. CARTLEDGE and Leonard Y. LEE, a copy of the Notice to File Missing Parts of Nonprovisional Application, and a check for \$485.00 in payment of the statutory basic filing fee, late Declaration surcharge, and 1 claim over 20 are being submitted.

No other fee is believed to be due, however; if this belief is incorrect, the Commissioner is authorized to debit Kilpatrick Stockton deposit account no. 11-0855 for any additional fees due.

Respectfully submitted,

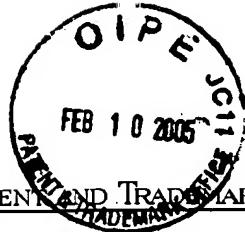
  
Larry A. Roberts

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/651,852	08/29/2003	Richard G. Cartledge	52817/291339

23370  
 JOHN S. PRATT, ESQ  
 KILPATRICK STOCKTON, LLP  
 1100 PEACHTREE STREET  
 SUITE 2800  
 ATLANTA, GA 30309

CONFIRMATION NO. 8128  
 FORMALITIES LETTER



\*OC000000012341535\*

Date Mailed: 04/13/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

02/11/2005 HALI11 00000024 10651852

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 395.00 OP  
 02 FC:2051 65.00 OP  
 03 FC:2202 25.00 OP

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$9** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$459** for a Small Entity

- **\$385** Statutory basic filing fee. *Now \$395*
- **\$65** Late oath or declaration Surcharge.

KS Docketing

*5/13/04*

Docketed for: *5/13/04*  
 Entered on: *4/21/04*  
 Initials: *gjt*  
 Previously Entered: \_\_\_\_\_

- Total additional claim fee(s) for this application is \$9  
*now 25*
  - \$9 for 1 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
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P.O. Box 1450  
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*A copy of this notice **MUST** be returned with the reply.*

*Thuy Nguyen*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
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